

OWNERS & KEY MANAGEMENT INFORMATION

REQUIRED FOR EACH OWNER WITH 20% OR MORE OWNERSHIP INTEREST AND NON-OWNER OFFICER(S)

Legal Name: _____
 First Middle Last (Maiden)

Current Home Address _____ City _____ State _____ Zip _____ Since: (mo/yr) _____

Social Security#: _____ Date of Birth: _____ Birth City/State: _____

E-Mail Address _____ Spouse E-Mail Address _____ Cell Phone _____ Business Phone _____

THIS SECTION FOR OWNERS ONLY: This data is collected for reporting purposes only and to determine eligibility for special loan & technical assistance programs. Disclosure is optional and has no bearing on credit decision.

Please check all that apply: Minority Handicapped Disadvantaged
 Veteran Spouse of Veteran Service Branch _____ Honorable Discharge Yes No Date: _____

Gender: Female Male

Race: American Indian or Alaska Native Asian Black or African American Native Hawaiian or Pacific Islander White

Ethnicity: Hispanic or Latino Not Hispanic or Latino

WORK EXPERIENCE WITH APPLICANT SMALL BUSINESS (or attach Resume)

Title: _____ Ownership %: _____ Ownership Held in Trust? Yes No

Business Phone: _____ Years with Employer: _____ Years in Industry: _____

Roles & Responsibilities:

OTHER WORK EXPERIENCE:

<u>Company</u>	<u>Title</u>	<u>Dates Employed</u> <u>From - To</u>	<u>Roles & Responsibilities</u>	<u>Ownership %</u>

EDUCATION

Institution or Training

Dates Attended From - To

Major

Degree or Certificate

Other Business Related Interest or Activities:

<p>NOTE: SBA uses a search system called LexisNexis to perform background checks on all borrowers and guarantors. If you have ANY past BANKRUPTCIES, CREDIT matters, CRIMINAL charges or CIVIL cases, including minor offenses, the system WILL locate them. Please disclose ALL matters. Disclosure rarely disqualifies your loan, but failure to disclose will most likely disqualify your loan</p>	<p>YES</p>	<p>NO</p>
<p>1) Do you have an ownership interest in any other entity that has existing SBA loans? If yes, provide loan numbers and current status:</p>		
<p>2) Are you presently subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction?</p>		
<p>3) Have you been arrested in the past six months for any criminal offense? If yes, please provide relevant documents</p>		
<p>4) For any criminal offense - other than a minor motor vehicle violation - have you ever: 1) been convicted; 2) plead guilty; 3) plead nolo contendere; 4) been placed on pretrial diversion; or 5) been placed on any form of parole or probation (including probation before judgment)? If yes, furnish the dates, locations, fines, sentences, level of charge (whether it's demeanor or felony), dates of parole/probation, unpaid fines or penalties, name(s) under which charged, and any other pertinent information</p>		
<p>5) Are you presently suspended, debarred, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal Department or agency?</p>		
<p>6) If you are at least a 50% or more owner of the applicant business, are you more than 60 days delinquent on any obligation to pay child support arising under an administrative order, court order, repayment agreement between the holder and a custodial parent, or repayment agreement between the holder and a state agency providing child support enforcement services?</p>		
<p>7) Have you or the Applicant business declared bankruptcy? If yes, please provide relevant documents</p>		
<p>8) Are you or the Applicant business currently the subject of any pending lawsuits (including divorce)? If yes, please provide relevant documents</p>		
<p>9) Has the Applicant or any affiliated company of the Applicant as well as any Associated of the Applicant received any previous government financing? If yes, please provide relevant documents</p>		
<p>10) Are you a US Citizen? If "No" Country of Citizenship: _____ Alien Registration Number: _____</p>		
<p>11) Are you, any of your children, your parents or your spouse employed by, directors of, officers of or stockholders of the participating bank or the SBA or SCORE or any Federal Agency?</p>		
<p>12) Has an application for the loan you are applying for now ever been submitted to SBA or to a Certified Development Company or Lender in connection with any SBA program?</p>		
<p>13) Are you and Applicant business current on all local, state and federal taxes?</p>		

Criminal Penalties for False Statements: The undersigned certifies that all information provided in this form is true and complete to the best of his or her knowledge and submission of any false statement to WBD Or SBA or submission of any record to the WBD or SBA omitting material information can result in civil money penalties and additional monetary liability up to three times the amount of damages which the Government sustains because of the false statement under the False Claims Act, 31 USC 3729.

Identification Procedure: Federal law requires WBD to obtain, verify, and record information that identifies each person who applies for a 504 loan to help fight the funding of terrorism and money laundering activities. We will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

Authorization to Release Information: I/We hereby authorize any financial corporations, insurance companies, investors, credit bureaus, the U.S. Small Business Administration, employers, banks, etc., to release any and/or all information on my/our records and/or accounts to WBD, Inc. at its request. I/We also authorize any information to be released by my/our original or photocopied signature. I/We hereby certify that the enclosed information, including any attachments or exhibits provided here within or at a later date, is valid and correct to the best of my/our knowledge.

Credit Authorization only applicable to Owners providing personal guaranty: I authorize WBD/SBA to make consumer credit report inquiries as necessary to determine my creditworthiness.

Signature: _____

Date: _____

Printed Name: _____